

January 28, 2025

The Manager,
Listing Department,
BSE Limited,
Phiroze Jeejeebhoy Tower,
Dalal Street,
Mumbai 400 001.
BSE Scrip Code: 542772

The Manager,
Listing Department,
National Stock Exchange of India Ltd.,
Exchange Plaza, 5 Floor, Plot C/1, G Block,
Bandra - Kurla Complex, Bandra (E),
Mumbai 400 051.
NSE Symbol: 360ONE

Dear Sir / Madam,

Subject: - Intimation under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations, 2015")

We hereby inform you that 360 ONE Distribution Services Limited ("**360 ONE DSL**"), wholly owned subsidiary of 360 ONE WAM LIMITED ("**Company**"), is in receipt of an order from Member and Core Settlement Guarantee Fund Committee of National Stock Exchange of India Limited.

The details required under Regulation 30 of the Listing Regulations, 2015 read with SEBI Circular bearing reference no. SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024, are enclosed herewith as **Annexure A**.

Please take the same on your records.

Thanking you.
Yours faithfully,
For 360 ONE WAM LIMITED

Rohit Bhase
Company Secretary
ACS- 21409
Encl.: As above

Details required under Regulation 30 of Listing Regulations, 2015, read with the SEBI Circular bearing reference no. SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024

Particulars	Details
Name of the authority	National Stock Exchange of India Limited (“NSE”)
Nature and details of the action(s) taken, initiated or order(s) passed	Levied a penalty of Rs. 11.73 Lakhs <i>in terms of the Exchange circular no. NSE/INVG/2020/46662 dated December 16, 2020.</i>
Date of receipt of direction or order, including any ad interim or interim orders, or any other communication from the authority;	An Order dated January 27, 2025 was received on January 27, 2025, via email at 17:49 hours.
Details of the violation(s)/ contravention(s) committed or alleged to be committed	The Order has been passed by NSE on the contention that the impugned transactions done by the clients of 360 ONE DSL, wholly owned subsidiary of the Company and that 360 ONE DSL did not take adequate measures to refrain its clients from entering into such trades.
Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	There is no material impact on the Company’s financials or operations due to the said Order. However, 360 ONE DSL shall seek legal advice on the same and if so advised opt for the available recourse i.e. appeal before the appropriate authority.