

General information about company	
Scrip code	542772
NSE Symbol	360ONE
MSEI Symbol	NOTLISTED
ISIN	INE466L01038
Name of the entity	360 ONE WAM LIMITED
Date of start of financial year	01-04-2024
Date of end of financial year	31-03-2025
Reporting Quarter Type	Yearly
Date of Quarter Ending	31-03-2025
Type of company	Equity
Whether Annexure I (Part A) of the SEBI Circular dated December 31, 2024 related to Compliance Report on Corporate Governance is applicable to the entity?	Yes
Whether Annexure I (Part B) of the SEBI Circular dated December 31, 2024 related to Investor Grievance Redressal Report is Applicable to the entity?	Yes
Whether Annexure I (Part C) of the SEBI Circular dated December 31, 2024 related to Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies is Applicable to the entity?	Yes
Whether Annexure I (Part D) of the SEBI Circular dated December 31, 2024 related to Disclosure of Imposition of Fine or Penalty is Applicable to the entity?	Yes
Whether Annexure I (Part E) of the SEBI Circular dated December 31, 2024 related to Disclosure of Updates to Ongoing Tax Litigations or Disputes is Applicable to the entity?	No The Tax litigation(s) are below the materiality limit, hence the disclosure pertaining to the same is not applicable for the quarter ended 31st March, 2025
Whether Annexure I (Part F) of the SEBI Circular dated December 31, 2024 related to Disclosure Of Loans / Guarantees / Comfort Letters / Securities Etc. is Applicable to the entity?	No The transactions of ICD/ Guarantee/ comfort letter / security are transacted between the Company and its Subsidiary Companies and the same do not qualify to report under the said disclosure as the financials of subsidiaries with whom the transactions are taken place are subject to consolidation with the Company i.e. 360 ONE WAM LIMITED
Risk management committee	Applicable
Market Capitalisation as per immediate previous Financial Year	Top 500 listed entities
Is SCORE ID Available ?	Yes
SCORE Registration ID	comz00619
Reason For No SCORE ID	
Type of Submission	Original
Remarks (website dissemination)	
Remarks for Exchange (not for Website Dissemination)	

Annexure I								
Annexure I to be submitted by listed entity on quarterly basis								
I. Composition of Board of Directors								
Disclosure of notes on composition of board of directors explanatory							Textual Information(1)	
Whether the listed entity has a Regular Chairperson							Yes	
Whether Chairperson is related to MD or CEO							No	
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	Akhil Gupta	██████████	00028728	Non-Executive - Independent Director	Chairperson		22-12-1955
2	Mr	Karan Bhagat	██████████	03247753	Executive Director	Not Applicable	MD	25-04-1977
3	Mr	Yatin Shah	██████████	03231090	Non-Executive - Non Independent Director	Not Applicable		27-01-1976
4	Mr	Rishi Mandawat	██████████	07639602	Non-Executive - Nominee Director	Not Applicable		29-10-1979
5	Mr	Pavninder Singh	██████████	03048302	Non-Executive - Nominee Director	Not Applicable		24-10-1976
6	Ms	Geeta Mathur	██████████	02139552	Non-Executive - Independent Director	Not Applicable		21-11-1966
7	Ms	Revathy Ashok	██████████	00057539	Non-Executive - Independent Director	Not Applicable		16-01-1959
8	Mr	Pankaj Vaish	██████████	00367424	Non-Executive - Independent Director	Not Applicable		09-02-1962
9	Mr	Pierre De Weck	██████████	10771331	Non-Executive - Independent Director	Not Applicable		15-07-1950
10	Mr	Sandeep Tandon	██████████	00054553	Non-Executive - Independent Director	Not Applicable		17-05-1969

I. Composition of Board of Directors					
Disqualification of Directors under section 164 of the Companies Act, 2013					
Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active
7	No				Active
8	No				Active
9	No				Active
10	No				Active

I. Composition of Board of Directors

Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & reg. 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Reason for Cessation	Notes for not providing EAN	Notes for not providing DIN
1	NA		18-01-2024	18-01-2024		14.13	1	1	2	0			
2	NA		27-09-2010				1	0	0	0			
3	NA		27-09-2010				1	0	3	0			
4	NA		23-11-2022				1	0	2	1			
5	NA		23-11-2022				1	0	0	0			
6	NA		03-03-2015	11-09-2020	03-03-2025	120.28	0	0	0	0	Tenure Completion		
7	NA		23-04-2024	23-04-2024		11.07	5	5	10	5			
8	NA		22-01-2020	22-01-2020	22-01-2025	60.09	0	0	0	0	Tenure Completion		
9	NA		15-10-2024	15-10-2024		5.16	1	1	0	0		Textual Information(2)	
10	NA		27-01-2025	27-01-2025		2.04	3	2	3	1			

Text Block	
Textual Information(1)	Mr. Pankaj Vaish ceased to be the Director of the Company w.e.f. January 22, 2025 and Ms. Geeta Mathur ceased to be the Director of the Company w.e.f. March 3, 2025. Accordingly, the details in the columns Y, Z, AA, AB are mentioned as '0' as on March 31, 2025.
Textual Information(2)	PAN of Mr. Pierre De Weck is not applicable as he is the citizen of Switzerland.

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02139552	Geeta Mathur	Non-Executive - Independent Director	Chairperson	03-03-2015	03-03-2025	
2	00028728	Akhil Gupta	Non-Executive - Independent Director	Member	23-04-2024		
3	00367424	Pankaj Vaish	Non-Executive - Independent Director	Member	22-05-2020	22-01-2025	
4	07639602	Rishi Mandawat	Non-Executive - Nominee Director	Member	23-11-2022		
5	00057539	Revathy Ashok	Non-Executive - Independent Director	Chairperson	03-03-2025		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02139552	Geeta Mathur	Non-Executive - Independent Director	Chairperson	03-03-2015	03-03-2025	
2	00057539	Revathy Ashok	Non-Executive - Independent Director	Member	25-08-2024		
3	03048302	Pavninder Singh	Non-Executive - Nominee Director	Member	23-11-2022		
4	00054553	Sandeep Tandon	Non-Executive - Independent Director	Chairperson	03-03-2025		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07639602	Rishi Mandawat	Non-Executive - Nominee Director	Chairperson	23-11-2022		
2	00367424	Pankaj Vaish	Non-Executive - Independent Director	Member	02-02-2021	22-01-2025	
3	03231090	Yatin Shah	Non-Executive - Non Independent Director	Member	14-05-2019		
4	00057539	Revathy Ashok	Non-Executive - Independent Director	Member	17-01-2025	03-03-2025	
5	00054553	Sandeep Tandon	Non-Executive - Independent Director	Member	03-03-2025		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	07639602	Rishi Mandawat	Non-Executive - Nominee Director	Chairperson	23-11-2022		
2	02139552	Gecta Mathur	Non-Executive - Independent Director	Member	14-05-2019	03-03-2025	
3	10771331	Pierre De Weck	Non-Executive - Independent Director	Member	10-12-2024		
4	03247753	Karan Bhagat	Executive Director	Member	14-05-2019		

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	03231090	Yatin Shah	Non-Executive - Non Independent Director	Chairperson	25-08-2024		
2	00057539	Revathy Ashok	Non-Executive - Independent Director	Member	25-08-2024		
3	03048302	Pavninder Singh	Non-Executive - Nominee Director	Member	23-11-2022		

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1	00367424	Pankaj Vaish	Information Technology Strategy Committee	Non-Executive - Independent Director	Chairperson	Textual Information(1)
2	02139552	Geeta Mathur	Information Technology Strategy Committee	Non-Executive - Independent Director	Member	Textual Information(2)
3	03231090	Yatin Shah	Information Technology Strategy Committee	Non-Executive - Non Independent Director	Member	Textual Information(3)
4	07639602	Rishi Mandawat	Information Technology Strategy Committee	Non-Executive - Nominee Director	Member	Textual Information(4)
5	00054553	Sandeep Tandon	Information Technology Strategy Committee	Non-Executive - Independent Director	Chairperson	Textual Information(5)

Other Committee Text Block	
Textual Information(1)	Date of Appointment- July 25, 2022 Date of cessation- January 22, 2025
Textual Information(2)	Date of Appointment- July 25, 2022 Date of cessation- March 3, 2025
Textual Information(3)	Date of Appointment- July 25, 2022
Textual Information(4)	Date of Appointment- November 23, 2022
Textual Information(5)	Date of Appointment- March 3, 2025

Annexure 1								
Annexure 1								
III. Meeting of Board of Directors								
Disclosure of notes on meeting of board of directors explanatory								
Sr. No.	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	21-10-2024				Yes	9	9	5
2	10-12-2024		49		Yes	9	5	4
3		27-01-2025			Yes	9	8	4
4		20-02-2025			Yes	9	6	5

Annexure 1										
IV. Meeting of Committees										
Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	21-10-2024				Yes	4	4	3	0
2	Audit Committee	27-01-2025	97			Yes	3	3	2	0
3	Nomination and remuneration committee	21-10-2024				Yes	3	2	2	0
4	Nomination and remuneration committee	27-01-2025	97			Yes	3	2	2	0
5	Risk Management Committee	19-10-2024				Yes	3	2	1	0
6	Risk Management Committee	09-01-2025	81			Yes	4	3	2	0

Annexure 1										
IV. Meeting of Committees										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
7	Stakeholders Relationship Committee	03-10-2024				Yes	3	2	1	0
8	Stakeholders Relationship Committee	24-10-2024	20			Yes	3	3	1	0
9	Stakeholders Relationship Committee	24-10-2024				Yes	3	2	1	0
10	Stakeholders Relationship Committee	29-10-2024	4			Yes	3	3	1	0
11	Stakeholders Relationship Committee	29-10-2024				Yes	3	2	1	0
12	Stakeholders Relationship Committee	05-11-2024	6			Yes	3	3	1	0
13	Other Committee	03-10-2024		Information Technology Strategy Committee		Yes	4	2	2	0

Annexure 1		
V. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Rohit Bhase
2	Designation	Company Secretary and Compliance Officer

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of LODR Regulation

Sr				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
As per regulation 46(2) of the LODR:				
1.1	Details of business	Yes		https://www.360.one/
1.2	Memorandum of Association and Articles of Association	Yes		https://www.primeinfobase.in/360ONE/files/360ONE_Memorandum_of_Association.pdf https://www.primeinfobase.in/360ONE/files/360ONE_Articles_of_Association.pdf
1.3	Brief profile of board of directors including directorship and full-time positions in body corporates	Yes		https://www.primeinfobase.in/360ONE/files/Brief_Profiles_Directors.pdf
2	Terms and conditions of appointment of independent directors	Yes		https://www.primeinfobase.in/360ONE/files/policies/360_ONE_WAM_IR_Terms_and_Conditions_ID_Appointment.pdf
3	Composition of various committees of board of directors	Yes		https://www.360.one/investor-relations.html
4	Code of conduct of board of directors and senior management personnel	Yes		https://www.primeinfobase.in/360ONE/files/policies/360_ONE_WAM_IR_Code_of_Conduct_Sr_Mgmt_Personnel.pdf
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		https://www.primeinfobase.in/360ONE/files/policies/360ONE_Policy_on_vigil_mechanism_and_whistle_blower_mechanis
6	Criteria of making payments to non-executive directors	Yes		https://www.primeinfobase.in/360ONE/files/policies/Nomination_and_RemunerationPolicy_final.pdf
7	Policy on dealing with related party transactions	Yes		https://www.primeinfobase.in/360ONE/files/policies/360_ONE_WAM_RPT_Policy.pdf
8	Policy for determining 'material' subsidiaries	Yes		https://www.primeinfobase.in/360ONE/files/policies/360ONE_Policy_For_Determining_Material_Subsiidiary.pdf
9	Details of familiarization programmes imparted to independent directors	Yes		https://www.360.one/investor-relations.html
10	Email address for grievance	Yes		https://www.360.one/investor-relations.html

	redressal and other relevant details			
11	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		https://www.360.one/investor-relations.html
12	Financial results	Yes		https://www.360.one/investor-relations.html
13	Shareholding pattern	Yes		https://www.primeinfobase.in/ir_download/PPN_Corp_Announcements/360_ONE_WAM_SHP_REPORT_Q4_MAR_31_2
14	Details of agreements entered into with the media companies and/or their associates	NA		

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of LODR Regulation

Sr

	As per regulation 46(2) of the LODR:		
15.1	(I) Schedule of analyst or institutional investor meet (II) Presentations prepared by the listed entity for analysts or institutional investors meet, post earnings or quarterly calls prior to beginning of such events.	Yes	https://www.360.one/investor-relations.html
15.2	Audio recordings, video recordings, if any, and transcripts of post earnings or quarterly calls, by whatever name called, conducted physically or through digital means	Yes	https://www.360.one/investor-relations.html
16	New name and the old name of the listed entity	NA	
17	Advertisements as per regulation 47 (1)	Yes	https://www.360.one/investor-relations.html
18	Credit rating or revision in credit rating obtained	Yes	https://www.360.one/investor-relations.html
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	Yes	https://www.360.one/investor-relations.html
20	Secretarial Compliance Report	Yes	https://www.360.one/investor-relations.html
21	Materiality Policy as per Regulation 30 (4)	Yes	https://www.primeinfobase.in/360ONE/files/policies/360ONE_Policy_on_determination_of_materiality_of_information_and_events.pdf
22	Disclosure of contact details of KMP who are authorized for the purpose of determining materiality as required under	Yes	https://www.360.one/investor-relations.html

	regulation 30(5)		
23	Disclosures under regulation 30(8)	Yes	https://www.360.one/investor-relations.html
24	Statements of deviation(s) or variations(s) as specified in regulation 32	Yes	https://www.360.one/investor-relations.html
25	Dividend Distribution policy as per Regulation 43A(1)	Yes	https://www.primeinfobase.in/360ONE/files/policies/360ONE_Dividend_Distribtion_Policy.pdf
26.1	Annual return as provided under section 92 of the Companies Act, 2013	Yes	https://www.360.one/investor-relations.html
26.2	Employee Benefit scheme documents framed in terms of SEBI (SBEB) Regulations, 2021	Yes	https://www.360.one/investor-relations.html
27	Confirmation that the above disclosures are in a separate section as specified in regulation 46(2)	Yes	https://www.360.one/investor-relations.html
28	Compliance with regulation 46(3) with respect to accuracy of disclosures on the website and timely updating	Yes	https://www.360.one/investor-relations.html

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b)	Yes	
2	Board composition	17(1), 17(1A) & 17(1C), 17(1D) & 17(1E)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Role of Audit Committee and information to be reviewed by the audit committee	18(3)	Yes	
18	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
19	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
20	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
21	Role of Nomination and Remuneration Committee	19(4)	Yes	
22	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	
23	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
24	Role of Stakeholders Relationship Committee	20(4)	Yes	
25	Composition and role of risk management committee	21(1),(2),(3),(4)	Yes	
26	Meeting of Risk Management Committee	21(3A)	Yes	
27	Quorum of Risk Management Committee meeting	21(3B)	Yes	
28	Gap between the meetings of the Risk Management Committee	21(3C)	Yes	
29	Vigil Mechanism	22	Yes	
30	Policy for related party Transaction	23(1), (1A), (5), (6), & (8)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
31	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
32	Approval for material related party transactions	23(4)	NA	
33	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
34	Composition of Board of Directors of unlisted material Subsidiary	24(1)	Yes	
35	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4), (5) & (6)	Yes	
36	Alternate Director to Independent Director	25(1)	NA	
37	Maximum Tenure	25(2)	Yes	
38	Appointment, Re-appointment or removal of an Independent Director through special resolution or the alternate mechanism	25(2A)	Yes	
39	Meeting of independent directors	25(3) & (4)	Yes	
40	Familiarization of independent directors	25(7)	Yes	

Annexure II				
II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
41	Declaration from Independent Director	25(8) & (9)	Yes	
42	Directors and Officers insurance	25(10)	Yes	
43	Confirmation with respect to appointment of Independent Directors who resigned from the listed entity	25(11)	NA	
44	Memberships in Committees	26(1)	Yes	
45	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
46	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
47	Approval of the Board and shareholders for compensation or profit sharing in connection with dealings in the securities of the listed entity	26(6)	Yes	
48	Vacancies in respect Key Managerial Personnel	26A(1) & 26A(2), 26A(3)	NA	
	Any other information to be provided - Add Notes			

Annexure II		
1	Name of signatory	Rohit Bhase
2	Designation	Company Secretary and Compliance Officer

Annexure II		
III. Affirmations		
Sr	Particulars	Compliance status (Yes/No/NA)
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	Yes
	Any other information to be provided	

Annexure II		
1	Name of signatory	Rohit Bhase
2	Designation	Company Secretary and Compliance Officer

Details of Cyber security incidence		
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		No
Number of cyber security incidence or breaches or loss of data event occurred during the quarter		
Sr.	Date of the event	Brief details of the event

Signatory Details	
Name of signatory	Rohit Bhase
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	29-04-2025

Investor Grievance Details	
No. of investor complaints pending at the beginning of Quarter	0
No. of investor complaints received during the Quarter	0
No. of investor complaints disposed off during the Quarter	0
No. of investor complaints those remaining unresolved at the end of the Quarter	0

Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies- The details of acquisition of shares or voting rights in unlisted companies during the quarter in terms of sub-para 1 of para A of Part A of Schedule III are given below:

Any Other Information for Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies					
Sr. No.	Name of the unlisted company in which shares or voting rights have been acquired	Date of acquisition	Aggregate holding (% shares or voting rights) as at the end of the previous quarter	% shares or voting rights acquired during the quarter	Aggregate holding (% shares or voting rights) as at the end of the quarter
1	South West Bliss Private Limited	06-02-2025	0	19.5	19.5

Disclosure of Imposition of Fine or Penalty The details of imposition of fine or penalty during the quarter in terms of sub-para 20 of para A of Part A of Schedule III are given below:

Any Other Information for Disclosure of Imposition of Fine or Penalty					
Sr. No.	Name of the authority	Nature and details of the action(s) taken or order(s) passed	Date of receipt of direction or order, including any ad interim or interim orders, or any other communication from the authority	Details of the violation(s)/ contravention(s) committed or alleged to be committed	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible
1	Deputy Commissioner of State Tax	Interest Rs.6750 and Penalty Rs.750	09-01-2025	Imposition of Penalty on 360 ONE Distribution Services Limited as per Assessment Demand Order dated 15/03/2024 passed under PT Act, 1975 for the period-01/04/2020 to 31/03/2021 by Sales Tax Officer	There is no material impact on Company's financials or operations due to the said penalty
2	Professional Tax Officer	Interest Rs.7490 and Penalty Rs.750	18-03-2025	Imposition of penalty on 360 ONE WAM Limited, for non payment of Director PTEC tax as per Section 7(5) of the Maharashtra PT Act.	There is no material impact on Company's financials or operations due to the said penalty
3	National Commodity & Derivatives Exchange Ltd (NCDEX)	25000	20-03-2025	Imposition of penalty on 360 ONE Distribution Services Limited due to delay in communicating Voluntary Freezing policy to the clients	There is no material impact on Company's financials or operations due to the said penalty
4	National Commodity & Derivatives Exchange Ltd (NCDEX)	10000	20-03-2025	Imposition of penalty on 360 ONE Distribution Services Limited for Internal Audit violation for Half year ended September 30, 2024 (A certain column in Risk Based Supervision submission was not in line with the exchange requirements; while the Company reported total collateral collected against debit balance clients, the exchange required the Company to report lower of debit balance and total collateral.)	There is no material impact on Company's financials or operations due to the said penalty
5	Multi Commodity Exchange of India Ltd (MCX)	5000	13-01-2025	Imposition of penalty on 360 ONE Distribution Services Limited for Late sending of client communication relating to Voluntary Freezing of Trading Accounts and Internal Audit violation for Half year ended September 30, 2024 (A certain column in Risk Based Supervision submission was not in line with the exchange requirements; while the Company reported total collateral collected against debit balance clients, the exchange required the Company to report lower of debit balance and total collateral.)	There is no material impact on Company's financials or operations due to the said penalty
6	NSE Clearing Ltd. (NCL) - NSE	10000	21-03-2025	Imposition of penalty on 360 ONE Distribution Services Limited for Late sending of client communication relating to Voluntary Freezing of Trading Accounts and Internal Audit violation for Half year ended September 30, 2024 (A certain column in Risk Based Supervision submission was not in line with the exchange requirements; while the Company reported total collateral collected against debit balance clients, the exchange required the Company to report lower of debit balance and total collateral.)	There is no material impact on Company's financials or operations due to the said penalty